



The peak model for the triplet extensions and their transformations to the reference Hilbert space

Rytis Juršėnas

Vilnius University
Institute of Theoretical Physics and Astronomy
e-mail: rytis.jursenas@tfai.vu.lt

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Outline

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- Triplet adjoint for $\mathfrak{H}_m \subset \mathfrak{H}_0 \subset \mathfrak{H}_{-m}$
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 $\mathfrak{H}_m \subset \mathcal{H} \subset \mathfrak{H}_{-m}$. Peak model vs A-model
- Triplet adjoint in the reference Hilbert space. Transformations preserving the Weyl function

Classical theory for supersingular perturbations

Definitions

- $\cdots \subset \mathfrak{H}_2 \subset \mathfrak{H}_1 \subset \mathfrak{H}_0 \subset \mathfrak{H}_{-1} \subset \mathfrak{H}_{-2} \subset \cdots$ the scale of Hilbert spaces $(\mathfrak{H}_n, \langle \cdot, \cdot \rangle_n)_{n \in \mathbb{Z}}$ associated with a (semibounded) self-adjoint operator $L: \mathfrak{H}_2 \rightarrow \mathfrak{H}_0$.
- $L_n := L|_{\mathfrak{H}_{n+2}}$ (self-adjoint in \mathfrak{H}_n).
- $\varphi_\sigma \in \mathfrak{H}_{-m-2} \setminus \mathfrak{H}_{-m-1}$ ($m \in \mathbb{N}_0$); $\sigma \in \mathcal{S}$; $\#\mathcal{S} = d \in \mathbb{N}$.
- $L_{\min} := L|_{\{f \in \mathfrak{H}_{m+2} \mid \langle \varphi, f \rangle = 0\}}$ densely def., closed, symm. in \mathfrak{H}_m , d.i. (d, d) .

It follows that L_{\min} is esa in \mathfrak{H}_0 (!). Adjoint $L_{\min}^* \supseteq L_m$ with $\text{dom } L_{\min}^* = \mathfrak{H}_{m+2} \dot{+} \mathfrak{N}_z(L_{\min}^*)$. Eigenspace $\mathfrak{N}_z(L_{\min}^*) = \text{span}\{G_\sigma(z)\} =: G_z(\mathbb{C}^d)$, $z \in \text{res } L$, $G_\sigma(z) := P(L)^{-1}g_\sigma(z)$, $g_\sigma(z) := (L - z)^{-1}\varphi_\sigma \in \mathfrak{H}_{-m} \setminus \mathfrak{H}_{-m+1}$; e.g. $P(L) := \prod_j (L - z_j)$ for $z_j \in \text{res } L \cap \mathbb{R}$, $j \in J := \{1, \dots, m\}$.

Theorem

Let

$$\Gamma_0(f^\# + G_z(c)) := c, \quad \Gamma_1(f^\# + G_z(c)) := \langle \varphi, f^\# \rangle + R(z)c$$

for $f^\# \in \mathfrak{H}_{m+2}$, $c \in \mathbb{C}^d$, some Nevanlinna R . The triple $(\mathbb{C}^d, \Gamma_0, \Gamma_1)$ is an OBT for L_{\min}^* . The associated γ -field and the Weyl function are

$$\gamma(z) = G_z(\cdot), \quad M(z) = R(z), \quad z \in \text{res } L.$$

Triplet adjoint in scale spaces. A general definition

Definition

Consider the Hilbert triple $\mathfrak{h} \subseteq \mathfrak{k} \subseteq \mathfrak{h}'$, with both inclusions being dense. Here \mathfrak{h}' is the dual of \mathfrak{h} , i.e. an element of \mathfrak{h}' is a continuous linear functional on \mathfrak{h} whose action is defined via the duality pairing $\langle \cdot, \cdot \rangle : \mathfrak{h}' \times \mathfrak{h} \rightarrow \mathbb{C}$. The duality pairing is defined by extending the scalar product $\langle \cdot, \cdot \rangle_{\mathfrak{k}}$ in \mathfrak{k} so that $\langle g, f \rangle$ is well-defined $\forall g \in \mathfrak{h}' \forall f \in \mathfrak{h}$. Let T be a densely defined operator in \mathfrak{h} . Then there exists the unique operator T^\dagger in \mathfrak{h}' , called the *triplet adjoint* of T , defined by

$$\text{dom } T^\dagger := \{g \in \mathfrak{h}' \mid (\forall f \in \text{dom } T)(\exists g' \in \mathfrak{h}') \langle g, Tf \rangle = \langle g', f \rangle\}.$$

When such a $g' \in \mathfrak{h}'$ exists, it is unique and denoted by $T^\dagger g$.

The duality pairing $\langle \cdot, \cdot \rangle : \mathfrak{H}_{-m} \times \mathfrak{H}_m \rightarrow \mathbb{C}$ ($m \in \mathbb{N}_0$) for the Hilbert triple $\mathfrak{H}_m \subseteq \mathfrak{H}_0 \subseteq \mathfrak{H}_{-m}$ is defined by

$$\langle g, f \rangle := \langle P(L)^{-1/2} g, P(L)^{1/2} f \rangle_0$$

$$\forall f \in \mathfrak{H}_m \forall g \in \mathfrak{H}_{-m}.$$

Triplet adjoint in scale spaces

Proposition

The triplet adjoint $L_{\max} := L_{\min}^{\dagger}$ for the Hilbert triple $\mathfrak{H}_m \subseteq \mathfrak{H}_0 \subseteq \mathfrak{H}_{-m}$ is a densely defined, closed, non-symmetric operator in \mathfrak{H}_{-m} given by

$$L_{\max} = P(L_{-m})L_{\min}^*P(L_{-m})^{-1} \supseteq L_{\min}^*.$$

(Note: $P(L_{n-m})\mathfrak{H}_{n+m} = \mathfrak{H}_{n-m}$)

Corollary (For $d = 1$, see Dijksma et al '05; Kurasov '03, '09)

The operator $L_{\max} \supseteq L_{-m}$ extends L_{-m} to the domain

$$\operatorname{dom} L_{\max} = \mathfrak{H}_{-m+2} \dot{+} \mathfrak{N}_z(L_{\max}), \quad z \in \operatorname{res} L$$

with the eigenspace

$$\mathfrak{N}_z(L_{\max}) = \operatorname{span}\{g_{\sigma}(z) := (L_{-m-2} - z)^{-1}\varphi_{\sigma}\} =: g_z(\mathbb{C}^d).$$

Corollary

$L_{\max} = P(L_{-m})^{1/2}\widehat{L}_0^*P(L_{-m})^{-1/2}$ where \widehat{L}_0^* is the adjoint in \mathfrak{H}_0 of $\widehat{L}_0 := L|_{\{u \in \mathfrak{H}_2 \mid \langle \widehat{\varphi}, u \rangle = 0\}}$; $\widehat{\varphi}_{\sigma} := P(L_{-m-2})^{-1/2}\varphi_{\sigma} \in \mathfrak{H}_{-2} \setminus \mathfrak{H}_{-1}$.

(Note: $P(L_n)^{1/2}\mathfrak{H}_{n+m} = \mathfrak{H}_n$)

Intermediate Hilbert space. Singular elements

Definition (Peak model)

Linear space $\mathfrak{K} := \text{span}\{g_\alpha := g_\sigma(z_j) \in \mathfrak{H}_{-m} \setminus \mathfrak{H}_{-m+1} \mid \alpha = (\sigma, j) \in \mathcal{S} \times J\}$.

An element $k \in \mathfrak{K}$ is of the form $k = \sum_\alpha d_\alpha(k) g_\alpha$ with $\mathfrak{K} \ni k \leftrightarrow d(k) \in \mathbb{C}^{md}$ because the Gram matrix $\mathcal{G} = (\langle g_\alpha, g_{\alpha'} \rangle_{-m}) > 0$.

Lemma

$\mathfrak{K}_{\min} \subseteq \mathfrak{K} \subseteq \mathfrak{H}_{-m}$ where

$$\mathfrak{K}_{\min} := (\mathfrak{K} \cap \mathfrak{H}_{m-2}) \setminus \mathfrak{H}_{m-1} = \text{span}\{P(L_{-m-2})^{-1} \varphi_\sigma\}.$$

An element $k \in \mathfrak{K}_{\min}$ is of the form $k = k_{\min}(c) := \sum_\sigma c_\sigma P(L_{-m-2})^{-1} \varphi_\sigma$ for $c = (c_\sigma) \in \mathbb{C}^d$.

Definition (Cascade (A) model, see Dijksma et al '05 for $d = 1$)

Linear space

$$\mathfrak{K}_A := \text{span}\{h_\alpha := [(L - z_1) \cdots (L - z_j)]^{-1} \varphi_\sigma \in \mathfrak{H}_{-m-2+2j} \setminus \mathfrak{H}_{-m-1+2j} \mid j \in J\}.$$

An element $k \in \mathfrak{K}_A$ is of the form $k = \sum_\alpha d_\alpha(k) h_\alpha$ with

$\mathfrak{K}_A \ni k \leftrightarrow d(k) \in \mathbb{C}^{md}$ because the Gram matrix $\tilde{\mathcal{G}}_A = (\langle h_\alpha, h_{\alpha'} \rangle_{-m}) > 0$.

Intermediate Hilbert space

Definition (Peak model)

Define the vector space $\mathcal{H} := \mathfrak{H}_m \dot{+} \mathfrak{K}$. The space \mathcal{H} is made into the Hilbert space $(\mathcal{H}, \langle \cdot, \cdot \rangle_{\mathcal{H}})$ by completing \mathcal{H} with respect to the norm $\|\cdot\|_{\mathcal{H}} := \sqrt{\langle \cdot, \cdot \rangle_{\mathcal{H}}}$, where the scalar product $\langle \cdot, \cdot \rangle_{\mathcal{H}}$ in \mathcal{H} is defined by

$$\langle f + k, f' + k' \rangle_{\mathcal{H}} := \langle f, f' \rangle_m + \langle k, k' \rangle_{-m}$$

for $f, f' \in \mathfrak{H}_m$ and $k, k' \in \mathfrak{K}$.

The Hilbert space \mathcal{H} isometrically isomorphic to $\mathcal{H}' := (\mathfrak{H}_m \oplus \mathbb{C}^{md}, \langle \cdot, \cdot \rangle_{\mathcal{H}'})$ where

$$\langle (f, \xi), (f', \xi') \rangle_{\mathcal{H}'} := \langle f, f' \rangle_m + \langle \xi, \mathcal{G}\xi' \rangle_{\mathbb{C}^{md}}$$

for $(f, \xi), (f', \xi') \in \mathfrak{H}_m \oplus \mathbb{C}^{md}$. To see this, take $\xi = d(k)$ and $\xi' = d(k')$.

Definition (Cascade (A) model)

Define a linear space $\mathcal{H}_A := \mathfrak{H}_m \dot{+} \mathfrak{K}_A$ with an indefinite metric

$$[f + k, f' + k']_A := \langle f, f' \rangle_m + \langle d(k), \mathcal{G}_A d(k') \rangle_{\mathbb{C}^{md}}$$

for $f, f' \in \mathfrak{H}_m$ and $k, k' \in \mathfrak{K}_A$. An (undefined) Hermitian matrix \mathcal{G}_A is the Gram matrix of the A-model. Thus \mathcal{H}_A is a Hilbert space if $\mathcal{G}_A \geq 0$ and a Pontryagin space otherwise.

Triplet adjoint in an intermediate Hilbert space.

Closed restriction

Theorem

Let A_0 be (the graph of) the operator in \mathcal{H} defined by

$$A_0 := \{(f^\# + k, L_m f^\# + \sum_{\alpha} [Z_d d(k)]_{\alpha} g_{\alpha}) \mid f^\# \in \mathfrak{H}_{m+2}; k \in \mathfrak{K}\}$$

where $Z_d := Z \oplus \cdots \oplus Z$ (d times) is the matrix direct sum of d diagonal matrices $Z := \text{diag}\{z_j; j \in J\}$. Then:

- A_0 is densely defined, closed, and, in general, non-symmetric operator in \mathcal{H} , whose adjoint in \mathcal{H} is the operator given by

$$A_0^* = \{(f^\# + k, L_m f^\# + \sum_{\alpha} [\mathcal{G}^{-1} \mathcal{G}_Z^* d(k)]_{\alpha} g_{\alpha}) \mid f^\# \in \mathfrak{H}_{m+2}; k \in \mathfrak{K}\}$$

with the matrix $\mathcal{G}_Z := \mathcal{G} Z_d$. It is symmetric, and hence self-adjoint, iff \mathcal{G}_Z is Hermitian.

- The resolvent

$$(A_0 - z)^{-1} = U^* [(L_m - z)^{-1} \oplus (Z_d - z)^{-1}] U$$

for $z \in \text{res } A_0 = \text{res } L \setminus \{z_j \mid j \in J\}$.

Triplet adjoint in an intermediate Hilbert space.

Closable restriction

Theorem

The restriction A_{\max} to \mathcal{H} of L_{\max} is an extension of A_0 to the domain $\text{dom } A_{\max} = \text{dom } A_0 \dot{+} \mathfrak{N}_z(A_{\max})$ where the eigenspace $\mathfrak{N}_z(A_{\max}) = \mathfrak{N}_z(L_{\max})$ with $z \in \text{res } A_0$. The operator A_{\max} is not closed, in general, but it is closable, and the closure is given by $A_{\max}^{**} = A_{\min}^*$, where $A_{\min} := A_{\max}^* \subseteq A_0^*$ with

$$\text{dom } A_{\min} = \{f^\# + k \in \mathfrak{H}_{m+2} \dot{+} \mathfrak{K} \mid \langle \varphi, f^\# \rangle = \mathcal{G}_b^* d(k)\}$$

and where $A_{\min}^* \supseteq A_0$ with $\text{dom } A_{\min}^* = \text{dom } A_0 \dot{+} \mathfrak{N}_z(A_{\min}^*)$, $z \in \text{res } A_0$; the eigenspace

$$\mathfrak{N}_z(A_{\min}^*) = \text{span}\{F_\sigma(z) + \sum_{\alpha'} [\Lambda(z)]_{\alpha'\sigma} g_{\alpha'}\}, \quad F_\sigma(z) := P(z)^{-1} g_\sigma(z).$$

The above matrices $\mathcal{G}_b, \Lambda(z) \in [\mathbb{C}^d, \mathbb{C}^{md}]$ are defined by

$$[\mathcal{G}_b]_{\alpha\sigma'} := \sum_j \mathcal{G}_{\alpha,\sigma'j} b_j(z_j)^{-1}, \quad b_j(\cdot) := \prod_{j' \in \{1, \dots, j-1, j+1, \dots, m\}} (\cdot - z_{j'})$$

and

$$\Lambda(z) := [(z\mathcal{G} - \mathcal{G}_z^*)^{-1} - (z\mathcal{G} - \mathcal{G}_z)^{-1}] \mathcal{G}_b.$$

Triplet adjoint in an intermediate Hilbert space.

Boundary triple

Corollary

$$\operatorname{dom} A_{\max} = \operatorname{dom} L_{\min}^* \dot{+} \mathfrak{K}.$$

Corollary

A_{\max} is closed in \mathcal{H} , i.e. $A_{\min}^* = A_{\max}$, iff \mathcal{G}_Z is Hermitian.

Theorem

Let

$$\tilde{\Gamma}_0(f^\# + G_Z(c) + k) := c, \quad \tilde{\Gamma}_1(f^\# + G_Z(c) + k) := \langle \varphi, f^\# \rangle + R(z)c - \mathcal{G}_b^* d(k)$$

for $f^\# \in \mathfrak{H}_{m+2}$, $c = (c_\sigma) \in \mathbb{C}^d$, $k \in \mathfrak{K}$, some Nevanlinna R . For an Hermitian \mathcal{G}_Z , the triple $(\mathbb{C}^d, \tilde{\Gamma}_0, \tilde{\Gamma}_1)$ is an OBT for the adjoint A_{\min}^* of a densely defined, closed, symmetric operator A_{\min} in \mathcal{H} . The associated γ -field and the Weyl function are

$$\tilde{\gamma}(z)c = \sum_{\sigma} c_{\sigma} F_{\sigma}(z), \quad \tilde{M}(z) = R(z) + Q_{\mathcal{G}}(z), \quad z \in \operatorname{res} A_0,$$

$$[Q_{\mathcal{G}}(z)]_{\sigma\sigma'} := \sum_j \frac{[\mathcal{G}_b^*]_{\sigma,\sigma'j}}{(z_j - z)b_j(z_j)} = \sum_j \frac{\mathcal{G}_{\sigma j,\sigma'j}}{(z_j - z)b_j(z_j)^2}.$$

Example and Remark

Corollary (cf. Kurasov '09 for Θ real)

Let $d = 1$ and let $\mathcal{G}Z = Z\mathcal{G}$; then

$$(A_\Theta - z)^{-1} = (A_0 - z)^{-1} + \frac{g(z)U^*[\langle G(\bar{z}), \cdot \rangle_m \oplus \langle b, \mathcal{G}(z - Z)^{-1} \cdot \rangle_{\mathbb{C}^m}]U}{P(z)[\Theta - R(z) + \langle b, \mathcal{G}(z - Z)^{-1}b \rangle_{\mathbb{C}^m}]}$$

for $z \in \text{res } A_0 \cap \text{res } A_\Theta$ and $\Theta \in \mathbb{C} \cup \{\infty\}$; $b := (b_j(z_j)^{-1}) \in \mathbb{C}^m$.

Remark

The Krein Q -function $\tilde{Q}(z) := Q(z) + Q_{\mathcal{G}}(z)$, where $Q(z) := R(z) - R(z_0)$, fixed $z_0 \in \text{res } L$. Both, Q and $Q_{\mathcal{G}}$, belong to Nevanlinna class. In contrast, with a suitable choice of model parameters, the Q -function in the A-model is $Q_A(z) = Q(z) + r(z)$, where the generalized Nevanlinna function

$$r(z) := - \sum_{j=1}^m \frac{\alpha_{jm}}{(z - z_1)^{m-j+1}}, \quad [\alpha_{jm}]_{\sigma\sigma'} := [\mathcal{G}_A]_{\sigma m, \sigma' j}.$$

Now, formally ignore that \mathcal{G} is diagonal in $j \in J$, put $z_j = z_1 - \delta_{j-1}$ for $j \in \{2, 3, \dots, m\}$ ($m \geq 2$), and take the limits $\delta_j \rightarrow \delta_{j-1}$ and $\delta := \delta_1 \rightarrow 0$; then

$$Q_{\mathcal{G}}(z) \sim \tilde{r}(z) + O(\delta), \quad \tilde{r}(z) := - \sum_{j=1}^m \frac{\tilde{\alpha}_{jm}}{(z - z_1)^{m-j+1}}, \quad [\tilde{\alpha}_{jm}]_{\sigma\sigma'} := [\tilde{\mathcal{G}}_A]_{\sigma m, \sigma' j}.$$

Triplet adjoint in the reference Hilbert space.

Principal goals

The goal is to construct the operator in \mathfrak{H}_0 whose Weyl function is that of A_Θ in \mathcal{H} . By Langer–Textorius: If Q -functions of two simple, closed, densely defined, symmetric operators coincide, then the operators are unitarily equivalent.

Definition

Consider an operator $P_{\mathcal{H}} \in [\mathfrak{H}_{-m}, \mathcal{H}]$ ($m \in \mathbb{N}$) and let $P_{\mathcal{H}}^* \in [\mathcal{H}, \mathfrak{H}_{-m}]$ be its adjoint. Define another bounded operator $\Omega := P_{\mathcal{H}} P(L_{-m})^{1/2} : \mathfrak{H}_0 \rightarrow \mathcal{H}$, whose adjoint $\Omega^* = P(L_{-m})^{-1/2} P_{\mathcal{H}}^* : \mathcal{H} \rightarrow \mathfrak{H}_0$.

Definition

Let Θ be a linear relation in \mathbb{C}^d and let \mathcal{G}_Z be Hermitian. Define the operator in \mathfrak{H}_0 by $\hat{A}_\Theta^\Omega := \Omega^* A_\Theta \Omega$, and in particular put $\hat{A}_{\min}^\Omega := \Omega^* A_{\min} \Omega$ and $\hat{A}_{\max}^\Omega := \Omega^* A_{\max} \Omega$ and $\hat{A}_0^\Omega := \Omega^* A_0 \Omega$.

Theorem

The adjoint in \mathfrak{H}_0 is the operator $(\hat{A}_\Theta^\Omega)^ = \hat{A}_{\Theta^*}^\Omega$. Moreover, $\hat{A}_{\min}^\Omega \subseteq \hat{A}_\Theta^\Omega \subseteq \hat{A}_{\max}^\Omega$. That is, \hat{A}_Θ^Ω is a proper extension of a densely defined, closed, and symmetric operator \hat{A}_{\min}^Ω in \mathfrak{H}_0 , whose adjoint in \mathfrak{H}_0 is the operator \hat{A}_{\max}^Ω .*

We construct conditions, under which Ω is not necessarily unitary, and yet Ω preserves \tilde{M} .

Triplet adjoint in the reference Hilbert space.

Eigenspace

Definition

Let $\iota := \Omega\Omega^* = P_{\mathcal{H}}P_{\mathcal{H}}^* \in [\mathcal{H}]$, so that $\iota = |P_{\mathcal{H}}^*|^2 > 0$ is a bounded, positive, self-adjoint operator in \mathcal{H} .

Lemma

$\Omega\mathfrak{N}_z(\hat{A}_{\Theta}^{\Omega}) \subseteq \mathfrak{N}_z(\iota A_{\Theta})$. If in particular, $P_{\mathcal{H}}$ is invertible and leaves $\text{dom } A_{\Theta}$ invariant, for some Θ , then $P_{\mathcal{H}}$ (and hence Ω) is unitary, and the inclusion is the equality.

Lemma

Consider an element $f = f^{\#} + \gamma(z)c + k \in \text{dom } A_{\max}$; $f^{\#} \in \mathfrak{H}_{m+2}$, $k \in \mathfrak{K}$, $c \in \mathbb{C}^d$. Then $f \in \mathfrak{N}_z(\iota A_{\max})$ for $z \in \Sigma_{\iota} := \text{res } A_0 \cap \text{res}(\iota A_0)$ iff $f = H_z(c)$, where

$$H_z(c) := [I - z(\iota A_0 - z)^{-1}(\iota - I)]\gamma(z)c - (\iota A_0 - z)^{-1}\iota k_{\min}(c).$$

In particular, $f^{\#} = 0$ iff $(\forall z \in \Sigma_{\iota})(\exists n \in \mathbb{Z}_{\leq m+2}) H_z(\mathbb{C}^d) \cap \mathfrak{H}_n = \{0\}$.

(For $\iota = I$, $H_z(c) = \tilde{\gamma}(z)c$ and $f^{\#} = 0$)

Triplet adjoint in the reference Hilbert space.

Boundary triple

Theorem

Assume that $P_{\mathcal{H}}$ leaves $\text{dom } A_{\max}$ invariant. Then the triple $(\mathbb{C}^d, \widehat{\Gamma}_0^\Omega, \widehat{\Gamma}_1^\Omega)$ is an OBT for $\widehat{A}_{\max}^\Omega$; here $\widehat{\Gamma}_0^\Omega := \widetilde{\Gamma}_0 \Omega$ and $\widehat{\Gamma}_1^\Omega := \widetilde{\Gamma}_1 \Omega$ are single-valued surjective operators from $\text{dom } \widehat{A}_{\max}^\Omega$ onto \mathbb{C}^d . The (graph of the) associated γ -field is given by

$$\widehat{\gamma}^\Omega(z) = \{(c, u) \in \mathbb{C}^d \times \mathfrak{N}_z(\widehat{A}_{\max}^\Omega) \mid \Omega u = H_z(c)\}$$

and the Weyl function is

$$\widehat{M}^\Omega(z) = R(z) - \widetilde{\Gamma}_1[(\iota A_0 - z)^{-1}((\iota - I)z\gamma(z) + \iota k_{\min}(\cdot))]$$

on \mathbb{C}^d , with $z \in \Sigma_\iota$. Moreover, the operator $\widehat{A}_\Theta^\Omega$ in \mathfrak{H}_0 corresponds to the operator ιA_Θ in \mathcal{H} in the sense that

$$\Omega(\widehat{A}_\Theta^\Omega - z)^{-1} = (\iota A_\Theta - z)^{-1} \Omega, \quad z \in \text{res } \widehat{A}_\Theta^\Omega = \text{res}(\iota A_\Theta).$$

Corollary

$\widehat{M}^\Omega = \widetilde{M}$ on $\text{res } A_0$ iff $(\forall c \in \mathbb{C}^d) (\forall z \in \Sigma_\iota)$

$$[(A_0 - z)^{-1} - (\iota A_0 - z)^{-1} \iota] k_{\min}(c) - (\iota A_0 - z)^{-1} (\iota - I) z \gamma(z) c \in \text{dom } A_{\min}.$$